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#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

G.N. Cox III et al.

Serial No.: 09/897,844

Group Art Unit: 1631

Filing Date: July 2, 2001

Examiner: Unassigned

Title: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING  
ZINC FINGER PROTEIN

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an Information Disclosure Statement and a Form PTO-1449. It is believed that no fee is due.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. A completed Form PTO-1449 listing the references identified below accompanies this paper.

All references are of record, or have been submitted in related application serial number 09/229,037 from which the present application claims priority under 35 U.S.C. §120. Thus, pursuant to C.F.R. §1.98(d), copies of the references are not included.

Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record in the present application. The information includes:

United States Patent No. 4,990,607 issued February 5, 1991 to Katagiri et al.;  
United States Patent No. 5,096,814 issued March 17, 1992 to Aivasidis et al.;  
United States Patent No. 5,096,815 issued March 17, 1992 to Ladner et al.;  
United States Patent No. 5,198,346 issued March 30, 1993 to Ladner et al.;  
United States Patent No. 5,223,409 issued June 29, 1993 to Ladner et al.;  
United States Patent No. 5,243,041 issued September 7, 1993 to Fernandez-Pol;  
United States Patent No. 5,302,519 issued April 12, 1994 to Blackwood et al.;  
United States Patent No. 5,324,638 issued June 28, 1994 to Tao et al.;

United States Patent No. 5,324,818 issued June 28, 1994 to Nabel et al.;  
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International Publication No. WO 95/06166 published February 29, 1996;

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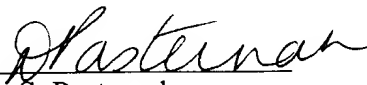
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Respectfully submitted,

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